

Form PTO-1390

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

P20572

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/74,951

INTERNATIONAL APPLICATION NO.

PCT/KR99/00437

INTERNATIONAL FILING DATE

5 August 1999

PRIORITY DATE CLAIMED

6 August 1998

TITLE OF INVENTION

ULTRAMINIATURIZED RESERVE BATTERY CELL

APPLICANT(S) FOR DO/EO/US

Won-Bae LEE, Han CHUNG, and Ho-Jun LEE

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☐ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  
"Executed"
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (U.S.C. 371(c)(5)).

Items 11 to 16 below concern other document(s) or information included:

11. Assignee: SEJU ENGINEERING CO., LTD. of Taejeon, KOREA
12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
13. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ Figure of Drawing to be published ☐ 1A ☐
18. ☒ Other items or information:  
Cover Letter of Executed Declaration  
Statement of Small Entity Status

RECEIVED  
AUG 29 2002  
TC 1700RECEIVED  
SEP 04 2001  
Technology Center 2600

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19. ☒ The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search report has been prepared by the EPO or JPO. . . . . \$ 860.00

International preliminary examination fee paid to USPTO (37 CFR 1.482). . . . . \$ 690.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO(37 CFR 1.445(a)(2)). . . . . \$ 710.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO. . . . . \$1,000.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4). . . . . \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS

PTO USE ONLY

RECEIVED

SEP 04 2001

Technology Center 2600

\$ 0.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$130.00

Claims

Number Filed

Number Extra

RATE

Total Claims

13 - 20 =

0

X \$18.00

\$ 0.00

Independent Claims

1 - 3 =

0

X \$80.00

\$ 0.00

Multiple dependent claim(s) (if applicable)

+ \$270.00

\$ 0.00

TOTAL OF ABOVE CALCULATIONS =

\$130.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by  $\frac{1}{2}$ .

\$ 65.00

SUBTOTAL =

\$ 65.00

Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

+

\$ 0.00

Extension of Time fee in the amount of \$

\$ 0.00

TOTAL NATIONAL FEE =

\$ 65.00

Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

+

\$ 0.00

TOTAL FEES ENCLOSED =

\$ 65.00

04/03/2001 ATRON1 00000015 09774951

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65.00 OP

Amount to be refunded

\$

Charged

\$

a. ☒ A check in the amount of \$65.00 to cover the above fees is enclosed.b.      Please charge my Deposit Account No.      in the amount of \$      to cover the above fees.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0089.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO CUSTOMER NO. 7055

AT THE PRESENT ADDRESS OF:

Bruce H. Bernstein

GREENBLUM &amp; BERNSTEIN, P.L.C.

1941 Roland Clarke Place

Reston, VA 20191

(703) 716-1191

SIGNATURE

Arnold Turk

NAME

33,094

REGISTRATION NUMBER



P20572.P06 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Won-Bae LEE et al

Attn: Application Branch

Application No. : 09/774,951

Filed : February 6, 2001

For : ULTRAMINIATURIZED RESERVE BATTERY CELL

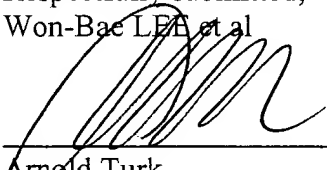
### STATEMENT OF SMALL ENTITY STATUS

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. 1.27, Applicant(s) in the above-identified application hereby request(s) status as a small entity for purposes of paying fees.

Respectfully submitted,  
Won-Bae LEE et al

  
\_\_\_\_\_  
Arnold Turk  
Reg. No.33,094

March 30, 2001  
GREENBLUM & BERNSTEIN, P.L.C.  
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Reston, VA 20191  
(703) 716-1191